

PROGRAM HIGHLIGHTS:	
<p>Coverage Period: 12 month Calendar Year (Jan 1st-Dec 31st)</p> <p>Premium: Collected in full, non-refundable. Pro-rated for new reps.</p> <p>Registered Rep Deductible: \$5,000</p> <p>Limits of Liability: \$1,000,000 per occurrence, \$3,000,000 aggregate</p>	<p>CCS Registered Reps are covered in their capacity as a:</p> <ul style="list-style-type: none"> • CCS FINRA registered representative. • CCS designated FINRA registered securities principal. • CCS investment adviser representative. • CCS-approved independent investment adviser. • Properly licensed life, health, accident & disability insurance agent. <p>Coverage is provided for claims that arise as a result of activities in:</p> <ul style="list-style-type: none"> • Securities authorized or otherwise approved for sale by CCS including stocks, bonds, mutual funds and variable insurance products. • Publicly registered limited partnerships and REITs not known to be in trouble at start of the policy period as defined by the policy. • Investment advisory activities that have been approved through CCS. • Life, health, disability and indexed and fixed annuity sales. <p>Coverage is NOT provided for claims arising out of any actual or alleged liability as a result of the following.</p>
UNDERWRITTEN BY:	
<p>Scottsdale Insurance Company</p> <p><u>Home Office:</u> One Nationwide Plaza Columbus, OH 43215</p> <p><u>Administrative Office:</u> 8877 North Gainey Center Dr. Scottsdale, AZ 85258</p>	<ul style="list-style-type: none"> • Services performed as an accountant, actuary, mortgage broker, property & casualty insurance agent, third party administrator, real estate agent or broker, or tax preparer. • Services performed as a trustee, plan administrator or fiduciary under ERISA. • Services performed as a trustee for any individual, business or charitable organization. • Private Placement Reg D offerings. • Short Sales, CMO's, Structured notes or foreign stocks trading on foreign exchanges (not including ADRs). • Un-covered Calls and Puts. • Viaticals, Life Settlements, Structured Settlements. • Day Trading. • Private securities transactions not pre-approved by CCS.
CONTACTS:	
<p>Coordinated Capital Securities, Inc. (608)221-4545</p> <p>Tracy Williams tracy@ccsmadison.com - for premium information - for coverage information - to file a claim</p>	<ul style="list-style-type: none"> • Any security priced under \$5.00 (Penny Stock) that is not listed on a national securities exchange. • Sale, recommendation or purchase of leveraged or inverse ETF's (including mutual funds) that is not in compliance with CCS procedures. • Claims with respect to which a written claim has been previously submitted to the carrier. • Claims arising from any pending or prior litigation, arbitration, claims or suits, or essentially the same circumstances known or reasonably known at policy inception. <p>NOTE: These highlights summarize some of the more important features of the policy. This is not meant to be a legal interpretation of the policy provisions.</p>