

COMPENSATION AGREEMENT VER 2017



This Compensation Agreement is made between Coordinated Capital Securities, Inc., (hereinafter referred to as "Company") and _____, Registered Representative (hereinafter referred to as "RR").

A. DIRECT COMMISSIONS AND ADVISORY FEES

Direct commissions include commissions or concessions received by Company as a result of RR making sales of mutual funds, mutual funds through First Clearing¹ ("Clearing Firm"), variable products, equity-indexed annuities, limited partnerships and investment advisory services (including advisory programs offered through the Clearing Firm). Commissions received by Company as a result of Clearing Firm trading activity by RR through FC also count toward Gross Direct Commission breakpoints.

If a breakpoint is reached during the year, the commission payout rate on past sales made during the year is not increased. RR's Direct Commissions payout percentage will be determined at the beginning of each calendar year and will be based on RR's direct and brokerage commissions received in the previous year. RR shall be entitled to receive the following payouts based upon RR's Gross Direct Commission production:

Production Tier:	Payout:
\$0 - \$10,000	60%
\$10,000 - \$25,000	75%
\$25,000 - \$50,000	80%
\$50,000 - \$100,000	85%
\$100,000 - \$200,000	88%
\$200,000 - \$750,000	90%
Over \$750,000	92%

B. CLEARING FIRM COMMISSIONS

Clearing Firm commissions include commissions or concessions received by Company as a result of RR making sales of stocks, bonds options or other general securities in a Clearing Firm account. Clearing charges for these accounts (as discussed in Section H below) shall be deducted from commissions due RR. Commissions earned on Packaged Products and Investment Advisory Services (as defined in item A above) do not count toward Brokerage Commission breakpoints.

RR's Clearing Firm Commissions payout percentage will be determined at the beginning of each calendar year and will be based on RR's Clearing Firm commissions received in the previous year. RR shall be entitled to receive the following payouts based upon the total Gross Clearing Firm Commissions:

Production Tier:	Payout:
\$0 - \$100,000	75%
\$100,000 +	85%

C. FIXED INSURANCE PRODUCTION CREDIT

Fixed insurance business conducted as an approved outside business activity shall receive 10x the amount of override received by Company in production credits when using one of our designated National Marketing Organizations.

D. PAYMENT SCHEDULE.

1. Direct Commissions. Commissions and concessions received by Company between the 1st and the 15th of the month shall be paid to RR on the 25th of the month. Commissions and concessions received by Company between the 16th and the end of the month shall be paid to RR on the 10th of the following month.
2. Clearing Firm Brokerage Commissions, Fees and other Compensation. Clearing Firm commissions, fees and other compensation received by the Company from the Clearing Firm shall be paid to RR on the 25th of the month.

1 1 First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, Member SIPC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.

E. WAIVER

The payment of all investment related compensation, including commissions or concessions, 12(b)1 fees, and investment advisory fees (hereafter "compensation") due RR is waived by RR until Company has received the compensation and will be paid at the applicable level on the next regularly scheduled payment date. Company's liability to RR for payment of the compensation is limited to the compensation actually received by Company.

F. CHARGE BACKS

RR agrees that RR is liable for all charge backs resulting from trading, order errors or reversals. RR also agrees to be responsible for commission reversals or failures to pay or deliver on the part of RR's clients. RR agrees to pay for the cost of arbitration or a lawsuit to collect for such failures. Company may deduct such cost from commissions and concessions due RR.

G. TAX REPORTING

For tax reporting purposes, RR's 1099 figure will reflect "net commissions" received during the year. "Net commissions" shall be defined as RR's payout portion of the gross commission received, including overrides, if applicable, less charges, fees, adjustments or other deductions. RR is advised that they are precluded from taking these deductions again from the net commission figure when reporting income for tax purposes. RR understands Company's policy not to issue 1099's for net commissions under \$600.00.

H. NO COMMISSION SHARING

RR agrees not to share commissions or concessions directly or indirectly with an unlicensed individual or entity or with a licensed individual of another FINRA member. Payment of service income (12(b)1 trail commissions) to a RR's widow or designated beneficiary is only permitted when a written Beneficiary Designation Agreement has been executed by the Company and the RR. The RR must be licensed and in good standing with the Company at the time of death.

I. CLEARING CHARGES

Schedule for clearing, execution and technology charges for transactions conducted through the Clearing Firm:

EQUITIES

Ticket Charge	\$50.00
Execution Fee (Listed NYSE and AMEX)	\$0.02/share
Execution Fee (Regional)	\$2.00/trade

OPTIONS

Options	\$50.00
Execution Fee Option Contracts	\$1.50/contract
Options SelectONE Asset Advisor or PIM	\$26.00 flat fee

FIXED INCOME

Bond Ticket Charge	\$50.00
Execution Fee Listed Corporate Bonds	\$1.00/Bond

MUTUAL FUNDS

New Account Purchases (Load and No-Load)	\$20.00
Additional Purchases in existing account	\$10.00
Liquidations of existing mutual fund holdings	\$15.00

OTHER CHARGES:

Certificate of Deposit	\$50.00
Unit Investment Trust	\$50.00
Extensions & Technical Liquidations	\$10.00/item
Cancel/Re-Bills	\$25.00

SMARTSTATION PACKAGE PRICING

Smart Station Package C (without Quotes)	\$110/month
Smart Station Package B (w/Quotes)	\$130/month*
Smart Station Package A (Advance Quotes)	\$160/month*

*plus exchange fees *plus any add-on services *plus \$50 one-time secure ID token fee

J. OTHER CHARGES.

RR agrees to pay Company the following fees and authorizes Company to deduct such fees from commissions and concessions due RR under this Agreement.

- 1) Commission Advance Fee. \$20 charge for commissions paid in advance of regular commission pay dates.
- 2) Minimum Production Fee. RR must generate \$25,000 of gross commissions on a calendar year basis or be assessed a \$750 Minimum Production Fee. If RR is licensed with Company for less than one year, the production requirement will be pro-rated but the fee will remain the same.
- 3) Annual Fees Paid by RR. Registration and Renewal fees, E&O Insurance Premium, Continuing Education Fees, Privacy Notice to customers and all other required customer mailings.
- 4) Branch Office Audit Fees. Wisconsin Branches with production < \$25,000 will be assessed \$150
 Wisconsin Branches with production > \$25,000 the fee is waived
 Branches outside of Wisconsin with production < \$50,000 will be assessed \$500
 Branches outside of Wisconsin with production > \$50,000 the fee is waived

K. TERMINATION.

This Agreement will terminate upon termination of RR’s Agreement with Company.

L. PAYMENTS UPON TERMINATION

Upon termination of RR’s securities license with Company, Company agrees to pay RR commissions received for business submitted and accepted by Company prior to termination. RR shall have 30 days to transfer accounts. After 30 days, all remaining accounts will become house accounts of Company.

M. AMENDMENT

This Agreement may not be amended except by a written amendment signed by both parties; provided, however, that Company may amend charges, payout rates and payment schedules by 30 days advance written notice to RR.

SIGNATURES:

By signing below, both parties agree to the terms of this Agreement, effective as of the date set forth above.

INDEPENDENT CONTRACTOR

X _____ Representative Signature **Date** _____

COORDINATED CAPITAL SECURITIES, INC.

X _____	_____	_____	_____
SIGNATURE	PRINT NAME	TITLE	DATE